

Official List of all Recognised Qualifications for Entry into Chartered Institute for Securities & Investment Membership

If you hold a qualification that is not listed below, please contact the Membership department on +44 (0) 20 7645 0650. Copies of certificates must be included with application forms for non-CISI qualifications.

SII/CISI QUALIFICATIONS

<u>Qualification</u>	<u>Industry Experience</u>	<u>Category</u>
Introduction to Investment – Foundation Qualification	None	Affiliate
International Introduction to Investment	None	Affiliate
IT in Investment Operations	None	Affiliate
Advanced Certificates	None	ACSI
Certificate in Corporate Finance	None	ACSI
Certificate in Financial Services for Directors	None	ACSI
Certificate in Investments (Level 3)	None	ACSI
Certificate in Risk in Financial Services	None	ACSI
DIFC Rules & Regulations	None	ACSI
Diploma - 1 Paper (passes A,B or C)	None	ACSI
Diploma - 2 Papers (passes A,B or C)	None	ACSI
Domestic Equity Market Dealers Exam	None	ACSI
Domestic Trader Exam	None	ACSI
IAC (3 papers passed)	None	ACSI
IAQ (3 papers passed)	None	ACSI
ICMQ (3 papers passed)	None	ACSI
IFA Qualification (4 papers passed)	None	ACSI
IFQ (1 paper passed)	None	ACSI
ICFA Qualification (1 paper passed)	None	ACSI
IIAC (7papers passed)	None	ACSI
International Certificate in Investment Management	None	ACSI
International Certificate in Wealth Management	None	ACSI
International Equity Market Dealers Exam	None	ACSI
International IAQ	None	ACSI

Investment Advice Diploma (Level 4)	None	ACSI
SFA Registered Representative or equivalent	None	ACSI
Certificate in Private Client Investment Advice & Management	None	MCSI
Diploma -2 Papers (passes A,B or C) & 1 Exemption	None	MCSI
Diploma - Full (3 passes A, B or C)	None	MCSI
Diploma in Investment Compliance	None	MCSI
Diploma In Investment Operations	None	MCSI
Masters in Wealth Management	None	MCSI
1, 2, 3 or 4 Stock Exchange practice exams Grade 1, 2, 3	None	MCSI

EXTERNAL / OVERSEAS QUALIFICATIONS

Associate (ACSI)

<u>External Qualifications</u>	<u>Industry Experience</u>	<u>Category</u>
AAT (Association of Accounting Technicians)	None	ACSI
ACCA Diploma pass	None	ACSI
AFPC (Full) Advanced Financial Planning Certificate	None	ACSI
AMCT (Associate of the Association of Corporate Treasurers)	None	ACSI
Associate of the Society Of Trust & Estate Practitioners (STEP)	None	ACSI
CAIA (Chartered Alternative Investment Analyst)	None	ACSI
CeFA (Certificate for Financial Advisors)	None	ACSI
CeMAP (Certificate in Mortgage Advice & Practice)	None	ACSI
Certificate In Offshore Administration (ICSA)	None	ACSI
Cert PFS - Certificate in Financial Planning (formerly FPC)	None	ACSI
CFP Certification (Certified Financial Planner)	None	ACSI
Diploma in Insurance (CII)	None	ACSI
Diploma in Financial Planning (CII)	None	ACSI

ICA Diploma in Anti Money Laundering (International Compliance Association)	None	ACSI
ICA Diploma in Compliance (International Compliance Association)	None	ACSI
IFS Level 3 Certificate In Financial Studies	None	ACSI
IFID Certificate (International Capital Markets Association)	None	ACSI
IMC (Full) Investment Management Certificate	None	ACSI
LIFA (International Research Association)	None	ACSI
MLIA(dip) / ALIA(Dip) Association for Financial Services Professionals	None	ACSI
National Certificate in Business & Finance	None	ACSI
Operations Certificate Programme (International Capital Markets Association)	None	ACSI
	<u>Industry Experience</u>	<u>Category</u>
<u>Overseas Qualifications</u>		
AIA (Association of International Accountants)	None	ACSI
Australia		
FINSIA Certificate in Financial Markets	None	ACSI
FINSIA Diploma in Financial Markets	None	ACSI
FINSIA Graduate Diploma in Applied Finance & Investment	None	ACSI
Canada		
Canadian Securities Course	None	ACSI
Investment Management Techniques	None	ACSI
Portfolio Management Techniques	None	ACSI
Greece		
Hellenic Capital Markets Commission Certificate	None	ACSI
Athens Exchange Examinations	2 Years	ACSI
Hong Kong		
AHKSI (Affiliate Hong Kong Securities Institute)	3 years	ACSI
SI Foundation Programme Examination (3 papers)	None	ACSI
Hungary		
Hungarian Stock Exchange Exam	None	ACSI
India		
CS – Associate/Fellow (Institute of Company Secretaries India)	None	ACSI

Ireland

QFA (Qualified Financial Advisor)	None	ACSI
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Graduate Certificate in Corporate Treasury (IACT)	None	ACSI
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New Zealand

New Zealand Exchange (NZX) Diploma (FINSIA)	None	ACSI
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Nigeria

ACA/FCA (Institute of Chartered Accountants)	None	ACSI
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ACIB/FCIB (Chartered Institute of Bankers)	None	ACSI
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ACII/FCII (Chartered Insurance Institute)	None	ACSI
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ACS/FCS (Chartered Institute of Stockbrokers)	None	ACSI
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USA

Series 3	None	ACSI
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Series 7	None	ACSI
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CFA Level 1/Level 2 (Chartered Financial Analyst)	None	ACSI
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CFP Certification (Certified Financial Planner)	None	ACSI
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CIA Qualification (Institute of Internal Auditors)	None	ACSI
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Member (MCSI)

Please note that holders of the below qualifications may become Associate members if the experience requirement of three years is not met.

<u>External Qualifications</u>	<u>Industry Experience</u>	<u>Category</u>
ACA/FCA (Institute of Chartered Accountants in England & Wales, or Scotland – CA)	3 years	MCSI
ACCA/FCCA (Association of Chartered Certified Accountants)	3 years	MCSI
ACI (Holder of Financial Markets Association Diploma)	3 years	MCSI
ACIB (Institute of Financial Services)	3 years	MCSI
ACII (Chartered Insurance Institute)	3 years	MCSI
ACIS/FCIS (Institute of Chartered Secretaries & Administrators)	3 years	MCSI
ACMA/FCMA (Chartered Institute of Management Accountants)	3 years	MCSI
ACSIP/FSIP (CFA Society UK, Formerly UKSIP)	3 years	MCSI

Advanced Diploma in Financial Planning (CII)	3 years	MCSI
ATII (Chartered Institute Of Taxation)	3 years	MCSI
CAIA (Chartered Alternative Investment Analyst)	3 years from passing	MCSI
Chartered Financial Planner (CII)	3 years	MCSI
CIPFA (Chartered Institute of Public Finance & Accountancy)	3 years	MCSI
Corporate Finance Qualification – Advanced Diploma (ICAEW)	3 years	MCSI
Corporate Finance Qualification – Intermediate Diploma (ICAEW)	3 years from passing	MCSI
FFA (Institute of Financial Accounting)	3 years	MCSI
FIA (Institute of Actuaries)	3 years	MCSI
FIFP (Institute of Financial Planning)	3 years	MCSI
FLIA(Dip) Association for Financial Services Professionals	3 years	MCSI
FPFS/APFS (The Personal Finance Society- formerly SOFA)	3 years	MCSI
MBA (Master of Business Administration)* with relevant financial content <i>* Dependent on CISI Recognition</i>	3 years	MCSI
MCT/FCT (Association of Corporate Treasurers)	3 years	MCSI
MSc Finance* <i>* Dependent on CISI Recognition</i>	3 years	MCSI
Practising Advocate (called or admitted in Scotland, Isle of Man, Jersey, or Guernsey)	3 years	MCSI
Practising Barrister (called or admitted in UK, Isle of Man, Jersey, or Guernsey)	3 years	MCSI
Practising Solicitor (called or admitted in the UK, Isle of Man, Jersey, or Guernsey)	3 years	MCSI
TEP (Full member of the Society of Trust & Estate Practitioners)	3 years	MCSI

<u>Overseas Qualifications</u>	<u>Industry Experience</u>	<u>Category</u>
CPA (Certified Public Accountant)	3 years	MCSI

All Commonwealth Securities Institutes – full member	3 years	MCSI
Australia		
CA(Aus) Chartered Accountant	3 years	MCSI
PNA/FPNA (National Institute of Accountants)	3 years	MCSI
SA Fin/F Fin and above (Senior Associate/Fellow and above of the Financial Services Institute)	3 years	MCSI
FINSIA Graduate Diploma in Applied Finance & Investment	None	ACSI
ACI (Holder of Financial Markets Association Diploma)	3 years	MCSI
Hong Kong		
FHKSI (Fellow Hong Kong Securities Institute)	3 years	MCSI
MHKSI (Member Hong Kong Securities Institute)	3 years	MCSI
Ireland		
ACA/FCA (Institute of Chartered Accountants in Ireland)	3 years	MCSI
ACIB (Chartered Institute of Bankers in Ireland)	3 years	MCSI
Practising Advocate (called or admitted in Ireland)	3 years	MCSI
Practising Barrister (called or admitted in Ireland)	3 years	MCSI
Practising Solicitor (called or admitted in Ireland)	3 years	MCSI
SIA (Regular member of the Society of Investment Analysts).	3 years	MCSI
India		
IACS (Institute of Chartered Accountants)	3 years	MCSI
ICWA (Institute of Cost & Works Accountants)	3 years	MCSI
New Zealand		
CA(NZ) Chartered Accountant	3 years	MCSI
New Zealand Exchange (NZX) Diploma (FINSIA)	3 Years	MCSI
Scotland		
ACIB (Chartered Institute of Bankers – Scotland)	3 years	MCSI
South Africa		
ACA(SA) Chartered Accountant	3 years	MCSI
ACI (Holder of Financial Markets Association Diploma)	3 years	MCSI
Switzerland		
CIIA (Chartered International Investment Analyst)	3 years	MCSI

USA

CFA (Chartered Financial Analyst)	None	MCSI
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EXEMPTIONS from CISI Diploma Papers

The exemption fee is £75.00 and exemption from one paper is permitted per candidate.

Interpretation of Financial Statements	Available to:
	Association of Chartered Certified Accountants - Fellow or Associate – ACCA / FCCA
	Association of Corporate Treasurers - Fellow or Associate MCT/FCT
	Chartered Institute of Bankers (Institute of Financial Services) – Fellow or Associate – ACIB
	Chartered Institute of Bankers in Ireland - Fellow or Associate
	Chartered Institute of Bankers in Scotland – Fellow, Member or Associate FCIB / ACIB
	Chartered Institute of Insurance - Fellow or Associate FCII/ ACII
	Chartered Institute of Management Accountants - Fellow or Associate ACMA / FCMA
	Chartered Institute of Public Finance and Accountancy - Fellow or Associate
	Faculty of Actuaries - Fellow or Associate
	Institute of Actuaries - Fellow or Associate FIA
	Institute of Chartered Accountants in England and Wales - Fellow or Associate ACA / FCA
	Institute of Chartered Accountants in Ireland - Fellow or Associate
	Institute of Chartered Accountants of Scotland – Member CA
	Institute of Chartered Accountants in Australia - Fellow or Associate
	Institute of Chartered Accountants in New Zealand - Fellow or Associate
	Institute of Chartered Accountants in South Africa - Fellow or Associate
	Institute of Chartered Secretaries and Administrators - Fellow or Associate ACIS / FCIS
	Financial Services Institute of Australia Graduate Diploma, depends on modules taken: contact Diploma Manager
	Masters degrees, depending on courses taken
Investment Analysis	Available to:
	UK Society of Investment Professionals
	Chartered Financial Analyst – CFA Institute
	Masters degrees, depending on courses taken
	Financial Services Institute of Australia Graduate Diploma - depends on modules taken: contact Diploma Manager