

8. Declaration

1. I have not been adjudged bankrupt or insolvent or compounded with my creditors and I am not currently subject to disciplinary procedures by the FSA or any professional body
2. I apply to become an Associate member of the Securities & Investment Institute and agree to abide by the Membership Rules and the Institute's Memorandum and Articles of Association, and to uphold its high standards as published in its Professional Code (Rules and Code available from website, Memorandum and Articles available on request). Any breach of the Rules may give rise to disciplinary procedures and termination of my membership
3. I know of no reason why I should not become an Associate member

Signature: _____

Name in full: _____

9. Referee

(Only required for applicants who do not have regulatory approval.)
Referee – either a Member or Fellow of the Securities & Investment Institute or a person who exercises a governing function or a significant management function under FSA rule Sup 10.4.5

I support (full name) _____

in applying to become an Associate member of the Securities & Investment Institute. I believe from my personal knowledge of him/her, that he/she fulfils the requirements of the Securities & Investment Institute.

Signature: _____

Name in full: _____

Job title: _____

Date: _____

10. Payment (Please complete as appropriate)

The joining fee for Associate membership is £25 and the annual subscription is £95. The joining fee and subscription are payable at the time of application. **Thereafter, subscriptions are due annually on 1st April**, and may be paid by direct debit (see below).

The membership fee is payable on a pro-rata basis at any stage of the year. Please tick the following box if you are joining between:

- Apr - Jun - £95
£120 inc joining fee
- Jul - Sep - £71.25
£96.25 inc joining fee
- Oct - Dec - £47.50
£72.50 inc joining fee
- Jan - Mar - £118.75 (includes fees for the following subscription year)
£143.75 inc joining fee

If paying by cheque or credit card please ensure you pay the joining fee and correct pro-rata amount.
Please note that any Student subscription paid for the current year will be deducted from the application fee.

Receipt required

Payment by firm:

I authorise payment for membership to be invoiced to our general account:

Print name: _____

Signed: _____

HR department

Payment by cheque:

Cheques should be made payable to:

'Securities & Investment Institute Ltd' and crossed
'Account Payee only'.

Cheque attached

Payment by Card:

I wish to pay by: *American Express/Delta/Eurocard/MasterCard/Switch/Visa

*Delete as applicable

I authorise you to debit my account with the amount of Apr - Jun - £120 Jul - Sep - £96.25 Oct - Dec - £72.50 Jan - Mar - £143.75

Card number:

Expiry date: ___/___

Switch/AMEX issue date: ___/___

Switch only issue No: _____

Card holder's name: (if different to that in Section 1 of this form) _____

Signature: _____

Instruction to your Bank or Building Society to pay by Direct Debit

Please fill in this form and send the original hard copy by post to:

Membership Department, Securities & Investment Institute, Centurion House, 24 Monument Street, London EC3R 8AQ



Name and full postal address of your Bank or Building Society

To: The Manager	Bank/Building Society
Address	
Postcode	

Originator's Identification Number

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Originator's reference number

Sec/Inst/

(for office use only)

Instruction to your Bank/Building Society

Please pay the Securities & Investment Institute Direct Debits from the account detailed in this instruction subject to the safeguards assured by the Direct Debit Guarantee. I understand that the amounts are variable and may be debited on various dates.

Signature(s)
Date

Name(s) of Account Holder(s)

Bank/Building Society account number

<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
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Branch Sort Code

<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
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Banks and Building Societies may not accept Direct Debit instructions for some types of account

This guarantee should be photocopied and retained by the Payer.

The Direct Debit Guarantee



- This guarantee is offered by all Banks and Building Societies that take part in the Direct Debit Scheme. The efficiency & security of the Scheme is monitored and protected by your own Bank or Building Society.
- If the amounts to be paid or the payment dates change, the Securities & Investment Institute will notify you in advance of your account being debited or as otherwise agreed.
- If an error is made by the Securities & Investment Institute or your Bank or Building Society, you are guaranteed a full and immediate refund from your branch of the amount paid.
- You can cancel a Direct Debit at any time by writing to your Bank or Building Society. Please also send a copy of your letter to us.